

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

November 16, 2007

PERMAN & GREEN 425 POST ROAD FAIRFIELD, CT 06824 US

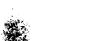
Dear Sir/Madam,

Your refund request for 10534563 in the amount of \$730.00 has been denied.

\$730 was received at time of filing but not applied, Bas ic fee \$300 was paid at time of filing, \$730 was charged to your account. wa

Sincerely,

Phyllis M. Lawrence PCT - National 703 308-9140 x231



USPTO CECEIFTS ACCOUNTING

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 2007 OCT -2 PH 4:50

APPLICANT(s): Piotrowicz, M.

SERIAL NO.: 10/534,563

ART UNIT: 3762

FILING DATE:

11/09/2005

EXAMINER:

TITLE:

APPARATUS FOR STIMULATING THE PHYSIOLOGICAL

PROCESSES OF LIVING ORGANISMS USING LIGHT WAVES, ELECTROMAGNETIC INDUCTION AND THERMAL INTERACTION

ATTORNEY

DOCKET NO.:

700-012218-US (PAR)

Refund Section, Accounting Division, Office of Finance Mail Stop 16 Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

PETITION FOR REFUND TO DEPOSIT ACCOUNT

Sir:

Pursuant to 37 C.F. R. §1.26, Applicant, requests that the amount of \$730.00 be refunded to Deposit Account #16-1350. Applicant disputes the charge listed below:

07/12 10534563 700-012218-US (PAR) 1633 \$200.00 07/12 10534563 700-012218-US (PAR) 1642 \$400.00 07/12 10534563 700-012218-US (PAR) 1617 \$130.00

A copy of the Deposit Account statement is attached hereto.

The charge should not have been made. The Completion of Missing Filing Requirements was mailed 11/7/05 with a check in the amount of \$730.00 (see attachment). Thus, there is no basis for the charge.

Accordingly the amount of \$730.00 should be refunded to Deposit Account #16-1350.

Respectfully submitted,

Geza C. Ziegle Ji

Reg. No. 44,004

27 Solomber 2007

Perman & Green, LLP 425 Post Road Fairfield, CT 06824 (203) 259-1800 Customer No.: 2512

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated below as first class mail in an envelope addressed to Refund Section, Accounting Division, Office of Finance, Mail Stop 16, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 27 Soplanhop 2007

Signature: <u>Reman Rolondum</u> Person Making Deposit





7.0

Deposit Account Statement

Requested Statement Month:

July 2006

Deposit Account Number:

161350

Name:

PERMAN & GREEN

Attention:

WILLIAM G. HOFFMAN

Street Address 1:

425 POST ROAD

Street Address 2:

FAIRFIELD

City: State:

CT

Zip:

06430

Country:

UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/03	167	10623970	390-011009-US (I01)	1801	\$790.00	\$16,991.08
07/03	168	10623970	390-011009-US (I01)	1463	\$200.00	\$16,791.08
07/03	123	11402279	684-012532-US(PAR) /NC509	1051	\$130.00	\$16,661.08
07/05	2	10348709		9204	-\$50.00	\$16,711.08
07/05	737	09816578	460-010244-US(PAR)	1202	\$100.00	\$16,611.08
07/05	741	09816578	460-010244-US(PAR)	1202	\$100.00	\$16,511.08
07/05	2	09893165	617-010445-US(PAR)	1251	\$120.00	\$16,391.08
07/05	33	11400888	20050739 US 02	1011	-\$300.00	\$16,691.08
07/05	34	11400888	20050739 US 02	1111	-\$500.00	\$17,191.08
07/05	35	11400888	20050739 US 02	1311	-\$200.00	\$17,391.08
07/05	36	11400888	20050739 US 02	1201	-\$400.00	\$17,791.08
07/06	449	10704068	442-007855-US (D01)	1251	\$120.00	\$17,671.08
07/06	26	10429687	044-010803-US (PAR)	1202	-\$350.00	\$18,021.08
07/06	27	10429687	044-010803-US (PAR)	1202	\$300.00	\$17,721.08
07/06	32	10433800	694-011332-US(PAR)C2705-S	1251	-\$120.00	\$17,841.08
07/07	1268	09954602	324-010512-US(PAR)	1251	\$120.00	\$17,721.08
07/11	1901	60806937	1040P012605US(#1)	2005	\$100.00	\$17,621.08
07/11	42	09815035	460-010248-US(PAR)	1252	\$450.00	\$17,171.08
07/12	437	10770109	945-011681-US (PAR)	1501	\$1,400.00	\$15,771.08
07/12	438	10770109	945-011681-US (PAR)	1504	\$300.00	\$15,471.08
07/12	439	10770109	945-011681-US (PAR)	8007	\$20.00	\$15,451.08
07/12	4	10534563	700-012218-US (PAR)	1633	\$200.00	\$15,251.08
07/12	5	10534563	700-012218-US (PAR)	1642	\$400.00	\$14,851.08
07/12	6	10534563	700-012218-US (PAR)	1617	\$130.00	\$14,721.08
07/12	1219	10157377	324-010967-US(PAR)	1814	\$130.00	\$14,591.08
07/12	28	11178836	390-011657-US(PAR)	1463	-\$200.00	\$14,791.08
07/13	1	10896728	785-011887-US (C01)	1251	\$120.00	\$14,671.08
07/13	146	29223432	940D012079-US (PAR)	2502	\$400.00	\$14,271.08
07/13	1	11179024	684-012255-US (PAR)	1202	\$50.00	\$14,221.08

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• •								
CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8) Docket No.								
Applicant(s): PIOTROWICZ, Mariusz 700-012218-US (PAR)								
				Common And Marit				
Serial No.	Filing Date	Examiner		Group Art Unit				
10/534,563	3/17/03							
Invention: APPARATU	S FOR STIMULATING THE PH	IYSIOLOGICAL PROCESSE	S OF I	LIVING ORGANISMS				
USING LIGHT WAVES,	ELECTROMAGNETIC INDUC	TION AND THERMAL INTE	ERACT	TION				
I hereby certify that th	is Completion of Missing Filing	Requirements						
		(Identify type of carrespondence)						
is being deposited wit	h the United States Postal Ser	vice as first class mail in an	envelo	ope addressed to: The				
Assistant Commission	er for Patents, Washington, D.C	. 20231 on Novemb	рег 7 , 2	005				
710010tant Golfminoolon	or roll acoustic, traceg.c, 2		ate)					
		Carolina Ro (Typed or Printed Name of Person	drigue Mailing	Z Correspondence)				
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	Note: Each paper must ha	ve its own certificate of mailing.						
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. Under the Paperwork Reduction Act of 1895, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER	THE UNITED STATES
DESIGNATED/ELECTED	OFFICE (DO/EO/US)
CONCERNING A SUBMISSIO	N UNDER 35 U.S.C. 371

ATTOR	S DOCKET NUMBER
700-01	22 i8-US (PAR)
U.S. AF	PLICATION NO. (If known, see 37 CFR 1.5)
10/53	4,563
PRIOR	TY DATE CLAIMED

DESIGNATED/ELECTER OFFICE (DO/FO/IIS)			700-0122 i8-US (PAR)				
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/534,563				
PCT/PL03/		INTERNATIONAL FILING DATE 17 MARCH 2003	PRIORITY DATE CLAIMED 15 NOVEMBER 2002				
TITLE OF	INVENTION IS FOR STIMULATING THE PHYSIOLOGICAL PR	ROCESSES OF LIVING ORGANISMS USING LIGHT WA	AVES, ELECTROMAGNETIC INDUCTION AND THERMAL INTERACTION				
APPLICAN PIOTROV	NT(S) FOR DO/EO/US NICZ, Mariusz						
Applicant	herewith submits to the United St	tates Designated/Elected Office (DO/E	O/US) the following items and other information:				
1. 🔲 1	This is a FIRST submission of items of	concerning a submission under 35 U.S.C. 37	1.				
I		submission of items concerning a submission					
	This is an exprese request to begin nat (5), (6), (9) and (21) indicated below.	tional examination procedures (35 U.S.C. 37	71(f)). The submission must include items				
4. 🔲	The US has been elected (Article 31).						
5.	A copy of the International Applicatio						
		ed only if not communicated by the Internation	nal Bureau).				
	b. has been communicated by						
_	·	lication was filed in the United States Receiv					
6.	ر ا	he International Application as filed (35 U.S.)	C. 371(c)(2)).				
1	a. is attached hereto.						
_	•	nitted under 35 U.S.C. 154(d)(4).					
7.		ternational Application under PCT Article 19					
	a. are attached hereto (required only if not communicated by the International Bureau).						
ĺ		d by the international Bureau.					
	c. have not been made; how	wever, the time limit for making such amends	ments has NOT expired.				
l	d. have not been made and						
8. 🔲	An English language translation of the	the amendments to the claims under PCT Ar	rticle 19 (35 U.S.C. 371(c)(3)).				
9. 🗸	An oath or declaration of the inventor	r(s) (35 U.S.C. 371(c)(4)).					
10.	An English language translation of th Article 36 (35 U.S.C. 371(c)(5)).	he annexes of the International Preliminary E	Examination Report under PCT				
Items	11 to 20 below concern document(s	s) or information included:	••				
11.	An Information Disclosure Statement	i under 37 CFR 1.97 and 1.98.					
12. 🔲	An assignment document for recording	ing. A separate cover sheet in compliance w	rith 37 CFR 3.28 and 3.31 is included.				
13.	A preliminary amendment.						
14.	An Application Data Sheet under 37 (CFR 1.78.					
15.	A substitute specification.						
16.	A power of attorney and/or change of	f address letter.					
17.	A computer-readable form of the seq	quence listing in accordance with PCT Rule 1	13fer.2 and 37 CFR 1.821- 1.825.				
18.	A second copy of the published Intern	mational Application under 35 U.S.C. 154(d)	y(4).				
19.	A second copy of the English languar	ge translation of the international application	n under 35 U.S.C. 154(d)(4).				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents P.O. Box 1450, Alexandria VA 22313-1450.

20. Other items or information: International Search Report

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Tray ank Office; U.S. DEPARTMENT OF COMMERCE in unless it displays a valid OMB control number.

, Under the Paperwork Reduction Act o U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/PL03/00026 700-012218-US (PAR) 10/534,563 The following fees have been submitted CALCULATIONS PTO USE ONLY Basic national fee......\$300 21. 🔲 Examination fee If International prefiminary examination report prepared by USPTO and all claims satisfy provisions of \$ 200.00 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 s 400.00 All other situations.......\$500 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$260 for each additional 50 sheets of paper or fraction thereof. RATE Number of each additional 50 or fraction **Total Sheets** Extra Sheets thereof (round up to a whole number) x \$250 - 100 = /50 = Surcharge of \$130.00 for furnishing the eath or declaration later than 30 months from the earliest 130.00 claimed priority date (37 CFR 1.492(h)). NUMBER EXTRA RATE **NUMBER FILED CLAIMS** x \$ 50 \$ Total claims × \$200 Independent claims \$ + \$360 MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = 730.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ 730.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 730.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED . \$ 730.00 Amount to be \$ refunded: Amount to be \$ charged: a. A check in the amount of \$ 730.00 ___ to cover the above fees is enclosed. Please charge my Deposit Account No. 16-1350 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. 🗹 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-1350 ... A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Geza C. Ziegler, Jr. PERMAN & GREEN, LLP Geza C. Ziegler, Jr 425 Post Road Fairfield, CT 06824 44.004 203/259-1800 REGISTRATION NUMBER Customer No. 2512



<u>JNITED STATES PATENT AND TRADEMARK OFFICE</u>

SEP 0 9 2005

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Teademark Office Address COAMISSIONER FOR PATENTO PC Dat 1430 Absorbs Nigoras 12313-1430 was sopio gas

US APPLICATION NUMBER NO. FIRST NAMED APPLICANT

ATTY DOCKET NO.

10/534,563

Mariusz Piotrowicz

700-012218-US (PAR)

INTERNATIONAL APPLICATION NO.

PCT/PL03/00026

2512 (574 PERMAN & GREEN **425 POST ROAD** FAIRFIELD, CT 06824

US ACTION DUE DATE PAPER DATED MSG PT APPEAL والساعة LA. FILING DATE PRIORITY DATE 03/17/2003 11/15/2002

CONFIRMATION NO. 8469 371 FORMALITIES LETTER *OC000000016903724* *OC000000016903724*

Date Mailed: 09/07/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1,495).

- Copy of the International Application filed on 05/09/2005
- Copy of the International Search Report filed on 05/09/2005
- Copy of IPE Report filed on 05/09/2005
- Preliminary Amendments filed on 05/09/2005
- Information Disclosure Statements filed on 05/09/2005
- U.S. Basic National Fees filed on 05/09/2005
- Priority Documents filed on 05/09/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$730 for a Large Entity:

\$130 Surcharge.

- The application search fee has not been paid. Applicant must submit \$400 to complete the search fee.
 Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- The application examination fee has not been paid. Applicant must submit \$200 to complete the
 examination fee for a non-small entity. Note a surcharge will be required if submitted later than
 commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before
 July 1, 2005.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

WINSTON M AL VARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/534,563	PCT/PL03/00026	700-012218-US (PAR)

FORM PCT/DO/EO/905 (371 Formalities Notice)

Docket No.: 700-012218-US (PAR)

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

English Language Declaration

As a bo	low named inventor.	. I hereby declare that:				
My resi	idence, post office ac	ddress and citizenship are a	s stated below next to my name,			
invento			only one name is listed below) o ct matter which is claimed and for			
Title:	APPARATUS FOR STIMULATING THE PHYSIOLOGICAL PROCESSES OF LIVING ORGANISMS USING LIGHT WAVES, ELECTROMAGNETIC INDUCTION AND THERMAL INTERACTION					
the spe	cification of which	1				
(check	one)					
	is attached hereto) .				
was filed on May 9, 2005 as United States Application No. 10/534,563 or PCT International Application Numberfiled on						
		on (if applicable)				
		riewed and understand the amendment referred to above	contents of the above-identified sp	ecification, including the		
			Patent and Trademark Office all i of Federal Regulations, Section 1			
any fore which d checking	eign application(s) for lesignated at least on g the box, any foreig	r patent or inventor's certifie country other than the U	United States Code, Section 119 ficate, or Section 365(a) of any PC United States, listed below and have inventor's certificate or PCT Interprity is claimed.	T International Application we also identified below, by		
Prior F	oreign Applicatio	on(s)				
(1	Number)	(Country)	(Day/Month/Year Filed)	Priority Not Claimed		
CT/PL	.03/00026	PCT	17 MARCH 2003			
P.35714	9	POLAND	15 NOVEMBER 2002			
			•			

Page I of 3

I hereby claim the benefit unde application(s) listed below:	er 35 U.S.C. Section 11	9(e) of any United States provisional .
(Application Serial No.)		(Filing Date)
(Application Serial No.)		(Filing Date)
(Application Serial No.:		(Filing Date)
Section 365(c) of any PCT Into and, insofar as the subject matt prior United States or PCT I paragraph of 35 U.S.C. Sectio Patent and Trademark Office	ernational Application fer of each of the claim International Application 112, I acknowledge all information known ction 1.56 which becar	designating the United States, listed below is of this application is not disclosed in the ion in the manner provided by the first the duty to disclose to the United States in to me to be material to patentability as me available between the filing date of the diffiling date of this application:
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
statements made on information statements were made with the punishable by fine or imprisonments.	on and belief are bel knowledge that willful nent, or both, under Se	my own knowledge are true and that all ieved to be true; and further that these false statements and the like so made are ction 1001 of Title 18 of the United States rdize the validity of the application or any

POWER OF ATTORNEY: As a named inventor, and/or agent(s) to prosecute this application and Trademark Office connected therewith. (list name a	I hereby appoint the following attorned
	d transact all business in the Patent nd registration number)
All attorneys listed under Customer No.: 2512	
Send Correspondence to: Geza C. Ziegler, Jr.	
Customer No.: 2512	
Direct Telephone Calls to: (name and telephone num Geza C. Ziegler, Jr. 203/259-1800	nber)
Full name of sole or first inventor: PIOTROWICZ, Mariusz Sole or first inventor: Sole or first inventor:	
Hotraicz	18.10.2005 v.
Residence: ul. Zurawiec 2, 32-500 Chrzanow, Poland Citizenship:	
Post Office Address: ul. Zurawiec 2, 32-500 Chrzanow, Poland	
Full name of second inventor:	
Second inventor's signature:	DATE
	uniu.
Residence address:	
Citizenship:	
Post Office Address:	
	Page 3 c

PATENT APPLICATION SERIAL NO. 10/534563

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

05/17/2005 LLANDGRA 00000043 10534563 01 FC:1631 300.00 0P

PTO-1556 (5/87)



Revenue Accounting and Management

Name/Number: 10534563

Total Records Found: 4

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Fee Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
07/12/2006	00000004	1	<u>1633</u>	\$200.00	11/09/2005	DA 161350
07/12/2006	00000005	<u>1</u>	<u>1642</u>	\$400.00	11/09/2005	DA 161350
07/12/2006	00000006	<u>1</u>	<u> 1617</u>	\$130.00	11/09/2005	DA 161350
05/17/2005	00000151	<u>1</u>	<u>1631</u>	\$300.00	05/09/2005	CK